

FEB 28 2002

REQUEST

FOR

CONTINUED EXAMINATION (RCE)
TRANSMITTALSubsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995

See The American Inventors Protection Act of 1999 (AIPA).

Application Number

09/401,730

Filing Date

September 23, 1999

First Named Inventor

T. Luu

Group Art Unit

2878

Examiner Name

T. Luu

Attorney Docket Number

3572-7

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

a. ☐ Previously submittedi. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered)ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____iii. ☐ Other _____b. ☒ Enclosedi. ☒ Amendment/Replyii. ☐ Affidavit(s)/Declaration(s)iii. ☐ Information Disclosure Statement (IDS)iv. ☐ Other _____

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2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 35 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)b. ☐ Other _____

3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to
Deposit Account No. 14-1140i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)iii. ☒ Other any deficiencies _____b. ☒ Check in the amount of \$740.00 enclosedc. ☐ Payment by credit card (For PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)

Stanley C. Spooner

Registration No. (Attorney/Agent)

27,393

Signature

Date

February 28, 2002

CERTIFICATE OF MAILING OR TRANSMISSION

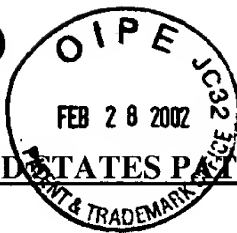
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print Type)

Signature

Date

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3/6/02
O. McHuning

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

FEI

Atty. Ref.: 3572-7

Serial No. 09/401,730

Group: 2878

Filed: September 23, 1999

Examiner: T. Luu

For: DEVICE FOR SECURING AT LEAST ONE OPTICAL FIBRE TO AN OPTICAL APPARATUS

* * * * *

February 28, 2002

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

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AMENDMENT

This Amendment/Request for Continuing Examination is responsive to the Final Rejection mailed November 30, 2001 (Paper No. 9), the date of response to which is February 28, 2002.

IN THE CLAIMS

Please cancel without prejudice claims 19, 21 and amend claims 1, 5, 14, 18, 23 and 27 as follows.

Please substitute the following amended claims for corresponding claims previously presented. A copy of the amended claims showing current revisions is attached.